



**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant(s): Singer et al.  
Serial No.: 10/755,846  
Filed: January 12, 2004  
For: ILLUMINATION SYSTEM WITH A PLURALITY OF LIGHT SOURCES  
  
Art Unit: 2881  
Examiner: Not Yet Assigned  
Confirmation No.: 8440  
Customer No.: 27623  
Attorney Docket No.: 637.0003USQ

**Mail Stop Missing Parts  
COMMISSIONER FOR PATENTS  
P.O. Box 1450  
Alexandria, VA 22313-1450**

Dear Sir:

**TRANSMITTAL OF MISSING PARTS OF APPLICATION AND  
PAYMENT OF SURCHARGE UNDER 37 C.F.R. §1.16(e)**

Transmitted herewith are the following documents pertaining to the above-identified application:

- XXX** Declaration and Power of Attorney executed by **Wolfgang Singer, Martin Antoni, Nils Dieckmann, Dirk Rothweiler, Jörg Schultz**
- \_\_\_ The undersigned attorney has verified that the above-identified applicant is entitled to Small Entity Status.;
- \_\_\_ \_\_\_ Sheets of Formal Drawings;
- XXX** An Assignment of the Invention to : **Carl Zeiss SMT AG**, including \$40.00 recordation fee and Assignment Recordation Cover Sheet;
- \_\_\_ A Certified Copy of the Priority Application;
- \_\_\_ Information Disclosure Statement (Form - 1449); and
- XXX** A copy of the Notice to File Missing Parts of Application Filing Date Granted.
- XXX** Petition and Fee for Extension of Time (37 CFR 1.136(A)).

The Filing Fee is calculated below.

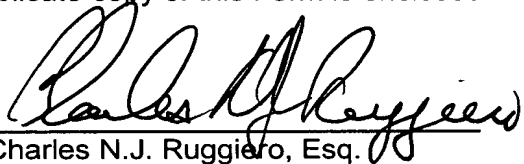
CLAIMS AS FILED				
(1) For	(2) Number Filed	(3) Number Extra	(4) Rate	(5) Basic Fee \$770.00
Total Claims	41 - 20 =	21	x \$18.00	\$378.00
Independent Claims	5 - 3 =	2	x \$86.00	\$172.00
Multiple Dependent Claim Fee		x \$290.00 = \$0.00		
<b>TOTAL FILING FEE</b>		<b>\$1,320.00</b>		

1/2 FILING FEE FOR SMALL ENTITY	\$
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**XXX** A check in the amount of \$ 1,600.00 to cover the filing fee (\$1,320.00) surcharge (\$130.00) assignment recordation fee (\$40.00), and one-month extension of time fee (\$110.00) is enclosed.

**XXX** The Commissioner is hereby authorized to charge any additional fees under 37 C.F.R. §§1.16 and 1.17 which may be required with this communication or during the entire pendency of the application, or credit any overpayment, to **Deposit Account No. 01-0467**. A duplicate copy of this Form is enclosed.


July 16, 2004  
Date

  
Charles N.J. Ruggiero, Esq.  
Attorney for Applicant(s)  
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**CERTIFICATE OF MAILING**

I HEREBY CERTIFY THAT THIS CORRESPONDENCE IS BEING DEPOSITED WITH THE U.S. POSTAL SERVICE AS FIRST CLASS MAIL IN AN ENVELOPE ADDRESSED TO: MAIL STOP MISSING PARTS, COMMISSIONER FOR PATENTS, P.O. BOX 1450, ALEXANDRIA, VA 22313-1450, ON JULY 16, 2004.

Jeffrey J. Scepanski  
NAME

  
SIGNATURE

07/16/04  
DATE

IFW



UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE  
 United States Patent and Trademark Office  
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 Alexandria, Virginia 22313-1450  
 www.uspto.gov

APPLICATION NUMBER	FILING OR 371 (c) DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/755,846	01/12/2004	Wolfgang Singer	637.0003USQ

CONFIRMATION NO. 8440

## FORMALITIES LETTER



\*OC000000012361508\*

Charles N.J. Ruggier, ESQ.  
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07/20/2004 HDEMESS1 00000022 10755846

Date Mailed: 04/16/2004

01 FC:1001	770.00 OP
02 FC:1201	172.00 OP
03 FC:1202	378.00 OP
04 FC:1051	130.00 OP

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

Items Required To Avoid Abandonment:

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 770 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).*
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

The applicant needs to satisfy supplemental fees problems indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Additional claim fees of \$550 as a non-small entity, including any required multiple dependent claim fee, are required. Applicant must submit the additional claim fees or cancel the additional claims for which fees are due.

SUMMARY OF FEES DUE:

Total additional fee(s) required for this application is \$1450 for a Large Entity

- \$770 Statutory basic filing fee.
- \$130 Late oath or declaration Surcharge.

- Total additional claim fee(s) for this application is **\$550**
  - **\$172** for **2** independent claims over 3.
  - **\$378** for **21** total claims over 20.

Replies should be mailed to: Mail Stop Missing Parts  
Commissioner for Patents  
P.O. Box 1450  
Alexandria VA 22313-1450

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*A copy of this notice **MUST** be returned with the reply.*

  
\_\_\_\_\_  
Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE